

IN THE
United States Patent and Trademark Office

Applicant(s): Emerson P. Jones et al.

Docket No.: 17209-506

Serial No.: 10/677,838 **Confirmation No.:** 9758 **Art Unit:** 3696

Filed: October 2, 2003

Examiner: Shaikh, Mohammad Z.

For: Methods, Systems and Securities for Assuring A Company An Opportunity
to Sell Stock After A Specified Time

Mailstop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AMENDMENT/RESPONSE ACCOMPANYING R.C.E (37 C.F.R. §1.114)

Further to the Non-Final Office Action dated July 11, 2008 (hereinafter "Office Action") followed by the Notice of Appeal filed on January 9, 2009, in view of the concurrently filed request for Request Continued Examination ("RCE"), in view of the following amendments and/or remarks, Applicants request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 6 of this paper.